

Australia Papua New Guinea Economic Partnership – Sustainable Governance of Natural Resource Wealth Pillar 4b **Emergent Findings**

Western Province May 2024

May

Baseline Initiative

About this report

This emergent findings report for **Western Province** is part of the **Baseline Assessment of Resource Governance and Development Impacts** for the Australia Papua New Guinea Economic Partnership (APEP)'s Sustainable Governance of Natural Resource Wealth Pillar.

The report is an interim report which provides **preliminary high-level findings** and a **fieldwork summary** for Baseline Assessment activities carried out in Western Province between 9th - 20th April 2024. It outlines emergent findings for the Resource Governance Instrument, Capacity Development Assessment, Disability Assessment and Digital Storytelling Methodology. A data collection summary, training summary and detailed fieldwork logistics report are also attached in the Appendix.

The analysis within this report should not be treated as final or complete. The final report for the Baseline Assessment, the **PNG Perceptions of Resource Governance and Development Impacts Report**, will be delivered once fieldwork has been completed in all provinces that are part of the Baseline Initiative (Morobe, New Ireland, Western and Gulf).

This emergent findings report was prepared by Square Circle Global Development for Abt Associates. Square Circle worked in partnership with Tanorama Limited to complete fieldwork to collect data for the Baseline Initiative. Tanorama also provided the reporting inputs on the Capacity Development Assessment, Disability Assessment and Fieldwork and Logistics.

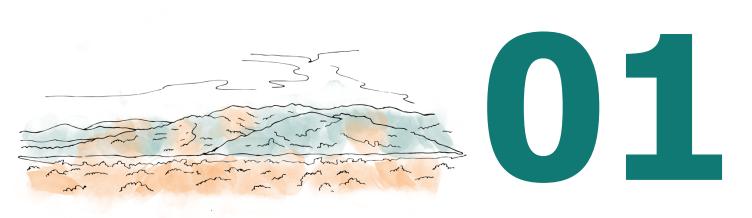
This report contains both illustrations drawn from photos taken in Western Province, as well as illustrations that depict general community life, landscape and ecologies in PNG.



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APEP End of Program Outcomes:

- 1. Safeguarding Macroeconomic Stability
- 2. Strengthening Public Financial Management for Service Delivery and Inclusive Growth
- 3. Supporting More Efficient and Inclusive Markets
- 4. Fostering Inclusive Growth and Expanded Livelihood Opportunities
- 5. Catalysing Economic Research and Dialogue

Background

Australia Papua New Guinea Economic Partnership and Pillar 4b

APEP is a multi-sectoral partnership between the governments of Australia and Papua New Guinea (PNG). The partnership is being carried out through five strategic pillars, including Pillar 4: Fostering Inclusive Growth and Expanded Livelihood Opportunities, which includes **Pillar 4b: Sustainable Governance of Natural Resource Wealth**.



Baseline

The Baseline Assessment of Resource Governance and Development Impacts ("Baseline") is part of a wider series of initiatives planned through APEP's Sustainable Governance of Natural Resource Wealth Pillar.

The design of the Baseline initiative took place in December 2023, following a series of inception, relationship building and awareness raising trips. The resulting methodology is outlined in detail in the *Baseline Methodology Design Document*.

The design was informed by:

- The initial relationship building, awareness and information trips conducted in April-June 2023.
- Ongoing consultation throughout 2023 with stakeholders from government, industry and civil society.
- Two collaborative design workshops conducted in August and December with Abt Global, Tanorama and Square Circle.

The purpose of the Baseline is to establish an understanding of governance in PNG's resource regions, the development impacts that are experienced by landowners and communities, and the priorities of local stakeholders for resource sector governance. The assessment will also help to broker development partnership opportunities for APEP and support policy and practice reform in the resource sector.

The Baseline Assessment Aims are:

- 1. Strengthening subnational relationships, networks and awareness.
- 2. Gathering local perspectives on resource governance and development impacts.
- 3. Informing the design and delivery of other Pillar 4b and APEP partnership initiatives.
- Establishing Baseline indicators for participatory monitoring, evaluation and learning (MEL).
- 5. Generating foundational research to inform policy and practice.

Baseline Questions

The Baseline seeks to answer three assessment questions:

Question 1

What are the resource governance and development views, experiences and priorities of local and provincial stakeholders in PNG's resource sector?

Question 2

How do different groups experience resource governance and development impacts in resource provinces? (GEDSI)

Question 3

What are the capacity needs of local stakeholders around resource extraction projects, particularly local communities, landowner associations and CSOs?

The initiative is carried out over seven interconnected phases:

Baseline Phases





Baseline Instruments

The Baseline consists of four separate and complementary instruments:



The Resource Governance Instrument (RGI) is a multi-part survey and interview guide that has been designed to measure resource governance and development views at the provincial and local level. The framework for this instrument draws on globally accepted precepts of 'good governance' in the resource sector, including transparency, accountability and participation. The instrument also engages with local cultural precepts, which shape the way in which landowners and communities respond to, interact with and experience resource governance in PNG.



The purpose of the Joint Organisational Capacity Assessment Tool (JOCAT) is to assess the organisational capacity needs and priorities of landowner associations and Civil Society Organisations (CSOs) that are impacted by resource governance at the local and provincial level. Organisations are selected based on their potential to participate in future subnational governance structures through the Papua New Guinea Extractive Industries Transparency Initiative (PNGEITI) Subnational Implementation Project.

The JOCAT is administered through a collaborative assessment process across seven key areas: *identity and purpose, governance, management and administration, financial management, services, programs and projects, networks and partnerships* and *communications and information management.*

The data for the JOCAT informs a Capacity Development Support Plan (CDSP), which helps organisations to identify their capacity gaps and develop a plan for addressing these gaps.



The objectives of the Disability Assessment are to mainstream disability inclusion across all Baseline instruments; conduct disability specific assessments in the four target provinces to inform both the Baseline and broader APEP responses to inclusion issues; and to induct the APEP Resources Sector Pillar team so that they are equipped to maximise inclusion outcomes during their research and program efforts. The dedicated Disability Assessment tool assesses the experience of persons with disabilities including their impairment experience and the broader service delivery context.

4

Digital Storytelling

Digital Storytelling in the Baseline Assessment is an important tool for gathering rich data that conveys the complex relationships between people, concepts, events and outcomes that shape experiences of resource governance. Storytelling is a distinct and inclusive qualitative research method which places storytellers at the centre of 'development'.

Capturing stories digitally in the Baseline also allows the views of sector stakeholders at the subnational level to be showcased to regulators, policy makers, civil society and industry representatives to inform policy and practice reforms. This storytelling methodology therefore provides landowners, impacted communities, civil society and local and provincial level governments with an opportunity to shape reform efforts in building a more sustainable and inclusive PNG resources sector.







Emergent Findings

Emergent Findings From Four Instruments

- 1. Resource Governance Instrument
- 2. Disability Assessment
- 3. Local Capacity Assessment
- 4. Digital Storytelling

The primary aim of the Baseline is to establish how resource governance impacts communities across PNG.

This section outlines emergent findings from the four instruments used in the Baseline: the resource governance instrument, disability assessment, local capacity assessment and digital storytelling methodology.

These findings are preliminary and high-level findings based on the initial fieldwork.



Data Collection Summary



Villages across Miamrai, Rudmesuk, Dande 2, Mimigire, Bige, Finalbin, Migalsim, Bultem, Katatai and Tope Data collection took place between 9 - 20 April across two data collection locations: Kiunga and Tabubil. The villages in Kiunga were Miamrai, Rudmesuk, Dande 2, Mimigire, Bige. The villages in Tabubil were Finalbin, Migalsim, Bultem, Katatai and Tope. Data collection was conducted across 4 teams: Team A (RGI); Team B (JOCAT); Team C (Disability); Team D (Digital Storytelling).

Key Successes

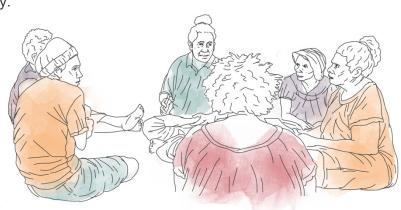
- Across the two locations, data collection was conducted in 10 villages. These villages were classified as 'impacted' and 'non-impacted' in relation to a resource project (e.g., villages inside the Special Mining Lease were 'impacted') to capture a wider range of perceptions and views.
- Survey targets for the RGI were met in both locations.
- 130 participants were considered impacted, and 115 considered non-impacted.
- The 'twin track approach' for gender equality, disability and social inclusion (GEDSI) meant that: (1) measures were taken to actively include people with disabilities in the sampling strategy for the RGI, and (2) specific disability assessments were conducted, including in remote communities.
- Tok saves on APEP and the purpose of the Baseline were conducted in each village, and good relationships were built with communities and officials at the local level after some initial difficulties.
- The data collection phase was the first time most people had heard of APEP so relationship building was important and became part of the data collection teams' mandate.



GEDSI

Our approach to GEDSI means that our methodology ensures that the views of diverse groups are captured in a safe and inclusive way. In the field, deliberate measures were taken to ensure meaningful inclusion of those that are typically excluded from resource governance.





GEDSI Highlights

Whilst not perfect, a gender balance in the teams (RGI, JOCAT and Disability) contributed to a satisfactory gender split in the sample and allowed women's voices to be heard across the instruments — women could talk to women if they wanted.

Local liaison officers and community leaders supported participation of people with disabilities, women, youth and the elderly in data collection. This increased the opportunity for inclusion. As part of the twin track approach, members of the RGI team (Team A) were embedded in the Disability Team (Team C) and vice versa – helping to mainstream disability and social inclusion, allowing people with disabilities to participate in the RGI, and also enabling more disability assessments to be conducted.

Safeguards training was conducted with provincial team members by the APEP GEDSI representative, and codes of conduct signed.

Having a female Field Team Leader helped challenge wider gender social norms in a practical way without having to mention the term 'gender' and be intrusive.

Referral pathways were provided for disability and domestic violence issues if they were needed.



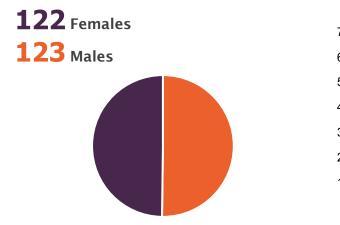
Resource Governance Instrument

The RGI includes both **quantitative** and **qualitative** data. The approach and protocols for data cleaning and analysis outlined in the *Baseline Methodology Design Document* were used to produce the findings below.

Demographics and Sample Characteristics

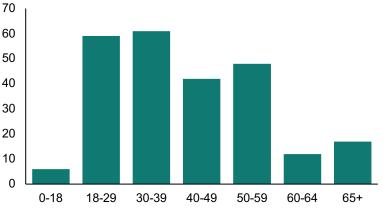
The sample characteristics and demographics from **Western Province** are as follows:



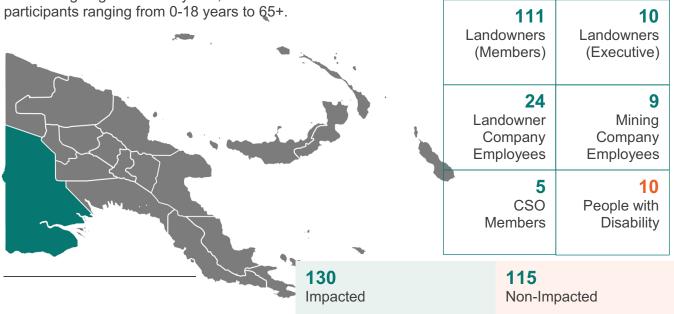


Overall, the sample in Western included 245 people including 122 females and 123 males. The average age was 32.3 years, with participants ranging from 0-18 years to 65+.

32.3 yrs (average age)



The sample comprised a mix of stakeholders as follows:



¹ The possible answers for 'What is your gender?' were Male, Female, and Other. In Western Province, 1 person answered 'Other', where every other participant answered 'Male' or 'Female'.



Emergent Findings

This section provides high level emergent findings that have been identified based on initial data analysis. These emergent findings provide preliminary data highlights, with further data snapshots provided in Appendix A: Resource Governance Instrument. Further analysis and findings will be presented in the final PNG Perceptions of Resource Governance and Development Impacts Report.

The overall impact of mining in Western is perceived as negative.

In Western Province, the impact of mining on a range of areas including health, infrastructure and education was considered **negative**. Interestingly, people in Western Province rated the impact on mining on PNG, culture and 'wok bung' less negatively than other areas.

This highlights that while people in Western Province generally rate the impacts of resource extraction negatively, there is a resilience of local people and culture. Developing this understanding of how mining, oil and gas impacts communities at the subnational level helps provide insight for policy discussions, stakeholder engagement and development planning.

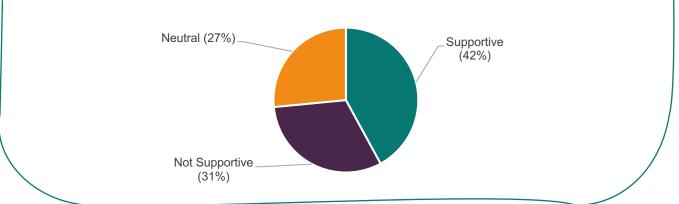
For a full description of the areas measured, including the questions used, refer to the Resource Governance Instrument in Appendix A. For ratings of each of the areas, refer to Appendix B.

People in Western are supportive of mining, oil and gas.

Despite rating the impact of mining on many areas as negative, it was found that support for mining, oil and gas in PNG was **slightly positive**, with many people also rating their support as **neutral**. This finding demonstrates the complex relationship that people in PNG have with resource extraction.

Despite rating the impact of mining on many areas as negative, it was found that support for mining, oil and gas in PNG was **slightly positive**, with many people also rating their support as **neutral**. The finding that people are neutral or slightly supportive, yet negative about the impact of mining, suggests that people recognise the role that mining, oil and gas play in PNG's development pathway.

It is clear again that there is a complex relationship that people in PNG have with resource extraction. This will be explored in detail in the next stage of analysis and presented in the PNG Perceptions of Resource Governance and Development Impacts Report.

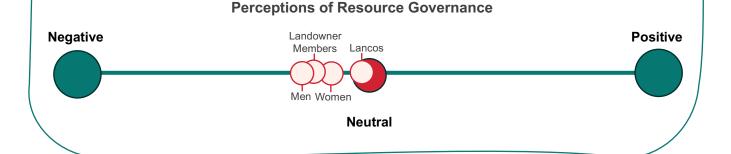


Support for Mining, Oil and Gas

Different groups experience resource governance similarly.

Despite differences in how groups experience development and resource extraction, there was a degree of convergence in ratings of resource governance in Western Province. For example, men and women equally thought that there was room for improvement in how resource projects are governed. These similarities may reflect the widespread footprint and historical presence of the Ok Tedi mine in Western Province. Nonetheless, the findings suggest that there are key areas where resource projects can improve their governance that will have wide reaching effects across the population groups. These will be explored in the final Perceptions of Resource Governance and Development Impacts Report.

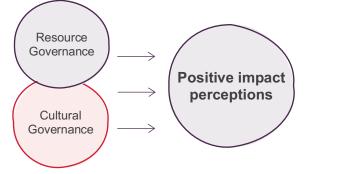
For more information on how groups experienced impacts, governance, and cultural governance differently, refer to Appendix B.



Strong resource governance is positively linked to development impacts, particularly when it is culturally relevant.

The RGI measured resource governance concepts that are relevant to subnational resource governance in PNG, such as the effectiveness of agreements, as well as transparency, accountability, participation and relationships. The RGI also measured a range of 'cultural governance precepts', including wok bung, hanmak, pasin, luksave, wan bel, bihain taim and tok save. Definitions of each cultural governance precept are provided in Appendix B.

The findings in Western show that when resource governance is effective, the community feels more positive about development impacts. At the same time, resource projects that are run in a way that is culturally respectful (cultural governance) produce positive perceptions of development impacts in the community. Interestingly, when compared with 'formal' or what some may call 'Western' resource governance concepts, cultural governance has a far greater impact on perceptions. In other words, the findings from the RGI show that good governance that is culturally relevant and respectful builds a base for better development. This relationship was identified through statistical analysis, and an explanation of the relationship is included in Appendix B.



Next steps for the RGI

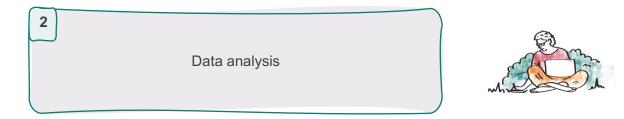
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These activities are carried out as an ongoing part of the final report deliverable.

Merging the datasets from each province



Data has been collected across four provinces. For the final PNG Perceptions of Resource Governance and Development Impacts Report, data will be collated, merged and stored securely as one data set.

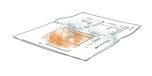


The full approach for data analysis was detailed in the Methodology Design document. Data analysis will include a range of statistical tests to explore the data and answer the assessment questions of the Baseline.

3 Sensemaking

Following the data analysis, the results will be interpreted with key findings distilled to support policy and practice dialogues to strengthen resource governance in PNG.





The results, analysis and interpretation of the findings will be presented in the PNG Perceptions of Resource Governance and Development Impacts Report. The report will include 'pathways forward' for support sector stakeholders in their efforts to strengthen resource governance in PNG.



Quotes From the Field

These quotes were provided by the participants of the RGI.

"

"

When mining is established in Western Province, why are villages along the river and highway still have no access to basic services like clean water, electricity, and proper road linkages...

Female, Mimingire



We want effective support for community development.

Male, Mimingire

"

Our children have lost interest in school , knowing parents are getting free money.

Female, Bultem

"

How will the community survive if the mine closes?

Female, Tope

"

"





Local Capacity Assessment Joint Organisational Capacity Assessment Tool Emergent Findings

The emergent findings for the Joint Organisational Capacity Assessment (JOCAT) summarise key themes found across all organisations assessed.²

Organisations Assessed

Western Province Disability Persons Organization (WPDPO) Assessed on 10 April 2024 with 10 participants **Ok Tedi Development Foundation (OTDF)** Assessed on 11 April 2024 with 6 participants

CMCA Women and Children Associations (CMCA W&CA)

Assessed on 12 April 2024 with 8 participants

Ok Tedi 6 Mine Village Women and Children Association (OT6MVW&CA)

Assessed on 17 April 2024 with 9 participants

Capacity Assessment Key Themes

Partnerships and Stakeholder Engagement

WPOPD has strong working relationships with provincial government, donor and disability support stakeholders, however there is no partnership with the developer. WPOPD will require support as it matures. OTDF is operationally mature and has strong networks. CMCA and OT6MVW&C is highly reliant on OTDF

Program Management

WPOPD are not yet operational so have little capacity in program design, implementation, monitoring, evaluation and impact assessment. OTDF, CMCA and OT6MVW&C have mature program management practices that take a bottom-up approach for program design. OTDF provides technical support (engineering and logistics) to aid project implementation.

Governance & Leadership

OTDF, CMCAW&C and OT6MVW&C are fully functional organisations that operate with well-established leadership and governance structures. OTDF also plays an important role in supporting the W&C associations. WPDPO's governance is the responsibility of the Western Province Provincial Administration. The organisation is in its infancy however executives have been appointed.

Human Resources

WPOPD do not receive funding support for human resources due to its recent establishment. Processes and policies are not yet in place. OTDF, CMCA and OT6MVW&C have wellestablished HR policies, high levels of staff capacity, skills and organisational culture.

Financial Management

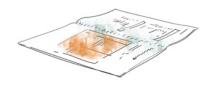
WPOPD is newly established and have not yet established financial management processes. OTDF, CMCAW&C and OT6MVW&C are funded through the developer's trust fund, which is managed by OTDF. A 5-year action plan is in place to guide expenditure. Association income is generated from village affiliation fees and membership fees. Management of these income streams is an area where more capacity is needed.

Organisational Resilience and Sustainability

WPOPD is in its infancy so capacity support for organisational resilience and sustainability would be valuable to the organisation. OTDF is mandated to ensure community development outlives mine closure, however CMA and OT6MVW&C may face challenges operating the closure of the Ok Tedi mine.

Next Steps for the Capacity Assessment

- Consider incorporating legislative requirements under the Associations Incorporation Act 2023 as key considerations into capacity assessment and plans to ensure good governance meets legal standards
- Schedule a feedback session with the organisations' leadership to discuss the emergent findings and recommendations
- Develop detailed Capacity Development Plans based on the assessment findings to strengthen the organisations' capacity and effectiveness



² Full assessment reports will be provided in the final PNG Perceptions of Resource Governance and Development Impacts Report in accordance with research ethics and subject to consent from participating organisation.



KEY DIGITAL STORYTELLING ACTIVITIES

- 150 photos
- 20 stakeholders interviewed across all stake holder groups
- Courtesy visit to OTDF facilities
- 5+ hours of video footage

Digital Storytelling covered all locations in Western Province. The digital storytelling team carried out photography and videography with a range of stakeholders in both affected and non-affected communities.

The footage gathered in Western Province will be analysed and inputs will be developed for the final four thematic digital storytelling products.



Aerial footage showcasing villages and the province.



Courtesy visit to WestAgro, one of OTDF agriculture projects



Team and community group photos



An interview featuring OTDF CEO, Havini Vira



Conversations with employees at Callan Services, Kiunga



Conversations with multiple stakeholder groups



Conversations with the OTDF Executive Management team



Snapshots documenting the data collection process



Footage capturing community engagement activities





Stakeholder Groups

- Community members
- Community leaders
- Employees of mining, oil and gas companies

Key Achievements

Good support from Ok Tedi allowed the team to building relationships with members of OTDF, resulting in interviews with four members of OTDF and visiting OTDF facilities.

Good buy-in from stakeholders, leading to oneto-one and group interviews being filmed.

Community acceptance of digital-storytelling reflected in participants accompanying and showing the Digital-Storyteller around their village.

Field team leaders and team members used portable cameras as a data collection tool. This was especially important when female team members could use them more discreetly with women when discussing sensitive matters.

Areas for Improvement

It is important that Provincial Administrations, Developers, Community Development Foundations and senior landowner executives

Next Steps for the Digital Stories

or chairman are part of the storytelling. This will ensure a balanced view across the storytelling methodology. The trip also highlighted the importance of doing tok save well and following communication protocols to access stakeholders and ensure good support from the Developper.

Example Questions Asked

Wanem sampela bikpela senis yu lukim projek bringim ikam insait long komuniti bilong yu long taim projek istat ikam inap nau? (What are some of the most significant changes the project has brought to your community between when it started and now?)

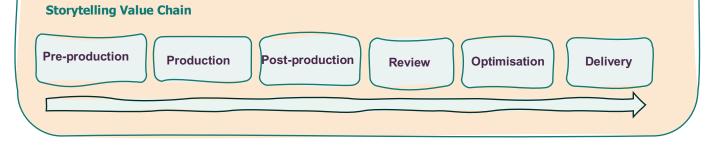
Wanem sampela gutpela samting projek ibringim kam insait long komuniti bilong yu? (What are some good things that the project has brought to your community?)

Wanem sampela samting nogut projek ibringim kam insait long komunity bilong yu? (What are some bad things that the project has brought to your community?)

Wanem tingting na lukluk bilong yu taim yu stap klostu long mining eria? (What has been your experience living near the mine?)

Footage gathered from the field will be analysed and developed into inputs to produce four digital stories.

The four digital stories will cover the following topics: Resource Governance and Development Impact perceptions; Resource Projects and Cultural Governance; Gender Equality, Disability and Social Inclusion; and the story of Baseline Initiative and APEP Pillar 4b.









Resource Governance Instrument



Survey Details - for Enumerator to Fill Out

Location
Date
Enumerator
Participant
Number



Resource Governance Survey Information Sheet

What is the Resource Governance Survey?	The Resource Governance Survey collects data on your views, experience and priorities about mining, oil and gas projects in Papua New Guinea. The survey is part of the Australia-Papua New Guinea Economic Partnership's (APEP) work to support the Sustainable Governance of Natural Resource Wealth in PNG.
What is purpose of the survey?	The aim of the survey is to support policy makers, regulators, industry, landowners, communities and civil society with data and insights on subnational resource governance to build a more sustainable and inclusive resource sector in PNG.
What will we be doing today?	We will be asking you questions about how mining and/or oil and gas projects affect you. Some of the questions we are going to ask are about a project, while other questions will ask you to think about the mining, oil and gas sector in PNG generally, i.e., all mining oil and gas projects across PNG.
Is it my choice to participate?	Yes, your choice to participate in the study is completely voluntary and your answers will remain confidential. You can withdraw or stop answering questions at any time, and if you don't feel comfortable answering a question you can choose not to answer it.
What will happen with the results?	We will be publishing the results of our project in a report that will be available to you and sector stakeholders. We may also publish our results in other reports, research articles and through videos. Importantly, we will not be publishing the results of the survey in a way that discloses anyone's identity.
What if I have any questions?	If you have any questions, please contact: Jonah Simet Ph: (+675) 7930 0315 Email: jonah.simet@auspngep.org



Demographic Details

We're going to start by asking some questions about you.

NoneUniversityPrimary schoolOther certification (trade/vocational /professional trainingHigh school/professional trainingPart of:Are you as ples from here?
High school (trade/vocational /professional trainin
Aligh school /professional trainin
oart of: Are you as ples from
· · ·
nere :
emorandum of
reement Yes
reement No
nbrella benefits reement Where do you currently live (specific town or village)?
reement
eement: How long have you lived in your current location?
ese re

Landowner association - member	Local government employee		
Landowner association - executive or	Provincial government employee		
employee	National government employee		
Mining or oil and gas company employee	Member of a Civil Society Organisation (CSO)		
Landowner company (personal, clan, umbrella) s	None of the above		
Other (please indicate):			

Have you heard of any of the following (please indicate all that apply)?

PNG Resource Governance Coalition (PNGRGC)	PNG Extractive Industries Transparency Initiative (PNG EITI)
Mineral Resources Authority (MRA)	Department of Petroleum & Energy
Department of Mineral Policy and Geohazards Management	



Development impacts

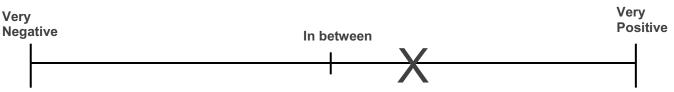
Now we're going to ask you some questions about the **impacts** of mining projects in the province. Your participation is voluntary, and if you do not feel comfortable answering a question, let the enumerator know.

Please indicate how positive or negative mining projects have been in the province on each of the following areas.

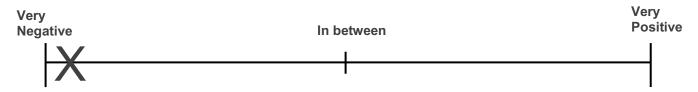
Place a check mark [X] on the line between 'very negative' and 'very positive'. If you place a check on the end that says 'very positive', it means that the impact of the project has been very positive.

For example, this question is asking about the impact of mining projects on **health**. If you place an X here:

Health



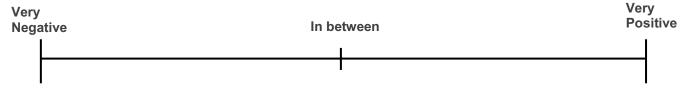
It means that the project has had a **slightly positive** impact on health. If you place the X here:



It means the project has had a **very negative** impact on health. Please indicate the impact of the following areas:

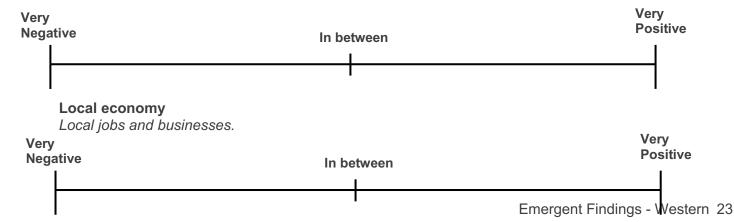
Health

People feeling healthy and having access to health services.



Education

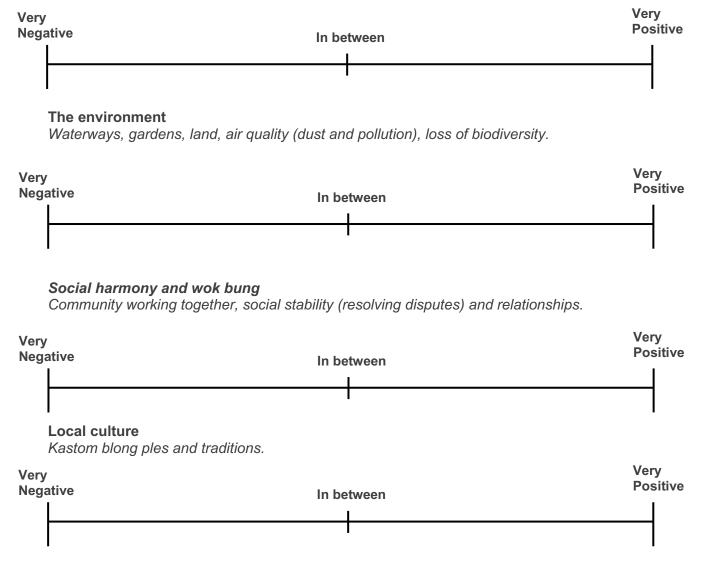
Classrooms and school facilities, education quality, access to high school or vocational training.





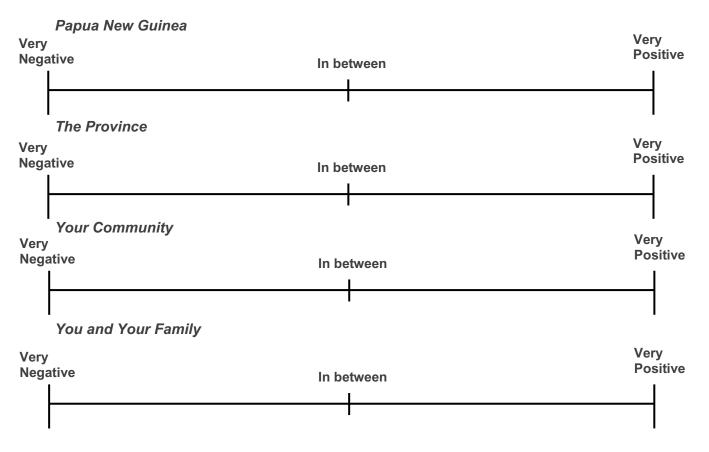
Infrastructure

Transport (roads and ports), access to clean water, energy (electricity), telecommunications.





Now we're going to ask you some questions about the **overall impacts** of mining projects on PNG, the province, your community and you and your family.

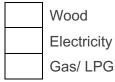




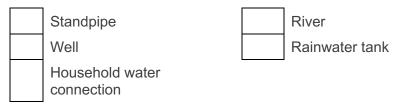
Now we're going to ask you some questions about your experience of daily life.

Please respond to each of the following statements by placing a marked check [x] in the box next to the answer you wish to select. Please only select one answer per question. If multiple answers apply, please select the most relevant answer.

What do you use for cooking?



I get my water from:



To get to and from school, children in my community travel:

Less than 30 minutes 30 minutes to 1 hour 1 hour to 2 hours

More than 2 hours

I can access the internet from my house.

Yes
No

In a normal day, my family and I eat processed food:

Once
Twice
Three times
More than three times
Not at all

I use health services that are provided by mining projects.

Yes
No

What kind of roof do you have?



Roofing iron

Local materials (thatch, leaves, grass)

Plastic

Other

I or someone in my family has a business connected to mining projects.

Yes
No

In the last five years, have you experienced the following as a result of extreme weather events (e.g. storms, floods, drought, cyclone, heatwaves)?

You may select more than one answer.

Loss of income (e.g. due to damaged crops, cannot travel to markets to sell goods)

Reduced access to basic goods and services (e.g. food, medicine, education)

Damage to housing

Damage to infrastructure (e.g. roads, power, telecommunications)

Stress caused by extreme weather events



Resource Governance

In this section, we are going to ask you some questions about the governance of mining projects. We will ask you questions about agreements, compensation and benefits, community development programs and investments.

We are interested in your opinions as a community member and a Papua New Guinean. Do your best to answer each question, even if you aren't totally sure or you don't know. If you don't feel comfortable answering a question, let your enumerator know.

Please indicate the extent to which you agree or disagree with the following statements.

(General Governance)

We are going to start with general questions about mining projects.

	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree
When it comes to agreements between mining projects and the community, discussions about rules and regulations, planning and community development are generally done well.	0	0	0	0	0
Over the last 5-10 years, governance around mining projects has improved.	0	0	0	0	0
There is an effective plan for closure of mining projects.	0	0	0	0	0
l am worried that things will get worse once mining projects close.	0	0	0	0	0



(Accountability)

Now we are going to ask you about **accountability**. Accountability means that there are systems in place to make sure that people around **mining projects** do the right thing, and follow through on their promises.

For example, if someone from a mining project says they will repair a road, there are things in place to make sure that they repair that road. If they don't repair the road, there are consequences.

	Strongly Disa	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree
There are adequate consequences if mining, oil and gas companies do the wrong thing.	0	0	0	0	0
There are adequate consequences if landowner association(s) do the wrong thing.	0	0	0	0	0
There are adequate consequences if the provincial government does the wrong thing.	0	0	0	0	0
The community can hold stakeholders (provincial government, the company, landowners) to account.	0	0	0	0	0

(Participation)

Now we're going to ask about **participation**. Participation is how easily you can be involved in discussions and decision-making around mining projects.

For example, if there is a meeting to discuss a mining project, you can attend the meeting and you get an opportunity to give your opinions.

	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree
I can easily contribute to discussions (forums, groups or meetings) about mining projects with:					
The government (local, provincial or national)	0	0	0	0	0



My community and clan	0	0	0	0	0
Landowner association(s)	0	0	0	0	0
Mining, oil and gas companies	0	0	0	0	0
When I am involved in discussions around mining, oil and gas, I feel like my opinions are listened to.	0	0	0	0	0

(Agreements)

Now we're going to ask you some questions about **agreements**. Agreements are the arrangements between mining projects and the community. This includes compensation agreements, benefits arrangements, and plans for community development projects.

	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree
The compensation and benefits arrangements for mining projects are governed well. I.e., the people involved in the agreements meet and discuss regularly, the arrangements are updated when needed, if there is a dispute it can be resolved.	0	0	0	0	0
There are a lot of disputes around agreements for mining projects.	0	0	0	0	0
Compensation and benefits arrangements around mining projects are fair.	0	0	0	0	0



(Transparency)

Now we're going to ask you about **transparency**. Transparency is how landowner association(s), companies and the government communicate and share important information about mining projects.

	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree
If I want to, I can easily access information about mining projects.	0	0	0	0	0
I can easily access information about landowner association(s).	0	0	0	0	0
l can easily access information about rules and regulations in mining, oil and gas.	0	0	0	0	0
In my opinion, the governance of compensation and benefits of mining projects are impacted by corruption.	0	0	0	0	0

(Relationships)

Now we're going to ask you about the **relationships** between stakeholders of mining project and communities.

	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree
Landowner association(s) have good relationships with its members and the community.	0	0	0	0	0
Mining, oil and gas companies have good relationships with landowners and the community.	0	0	0	0	0
The provincial government has good relationships with landowners and the community.	0	0	0	0	0



(Payments)

If you are eligible for compensation or royalty payments, please answer the following questions:

Please indicate the extent to which you agree or disagree with the following statements.

	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree
l am easily able to find out where payments are going and how much	0	0	0	0	0
In my opinion, people spend their payments wisely	0	0	0	0	0
There is support around payments, like financial literacy training and workshops	0	0	0	0	0
Payments and compensation arrangements cause jealousy in the community	0	0	0	0	0



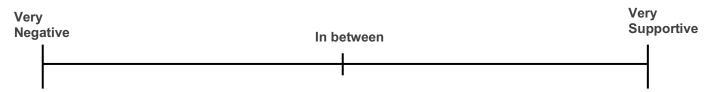
Cultural governance We are going to ask you some questions now about the cultural impact of mining projects.

	Strongly Disagree	Disagree	Neither Agree nor Disagree	Agree	Strongly Agree
(Pasin)	0	0	0	0	\bigcirc
Stakeholders of mining projects (companies, government, landowner association(s)), conduct themselves in a way that is considerate and culturally respectful (i.e., ol steikholda igat gutpela pasin).					
(Luksave)	0	0	0	0	0
Landowners and local communities get the respect and recognition they deserve (i.e., pappa graun na ol komuniti lain kisim gutpela luksave ikam).					
(Wan Bel/Wok bung) Stakeholders work together and collaborate (i.e., wan bel istap).	0	0	0	0	0
(Wok Kastom) Stakeholders' level of participation in cultural ceremonies and activities is appropriate (i.e., wok kastom).	0	0	0	0	0
(Tok Save) Stakeholders communicate and engage well with landowners and local communities (i.e., tok save).	0	0	0	0	0
(Hanmak) Mining projects have visible and tangible impacts for landowners and communities (i.e., hanmak i stap).	0	0	0	0	0
(Bihain Taim) Mining projects are run in a way that considers the future (i.e., bihain taim).	0	0	0	0	0



For this last question, we will ask you to think beyond mining projects in the province and focus on the resource sector overall in PNG.

Please indicate the extent to which you support mining, oil and gas in Papua New Guinea.



Finally, is there anything you would like to add about resource governance, mining projects, or the resource sector more broadly?

Appendix B



Resource Governance Instrument Data

This section provides detailed emergent findings of the Resource Governance Instrument.



Data Analysis

A brief outline of the data analysis process for this report is included here.

Once data had been exported from Kobo, it was cleaned, including checking for participants that were missing answers to questions. Categorical responses such as 'Strongly Disagree' to 'Strongly Agree' were converted into numerical scales and data were imported to statistical software R.

Once in R, the scales were checked for construct validity. The tests found that each measured construct was sufficiently independent to run tests on. An abridged version of checking the construct validity of scales was used for this report, noting that a more comprehensive process will be carried out for the final analyses in the PNG Perceptions of Resource Governance and Development Impacts Report. After the scales had been checked, descriptive statistics were run, and relationships between the variables were tested to answer the research questions.



Impact Perceptions

Negative

Negative

Negative

Negative

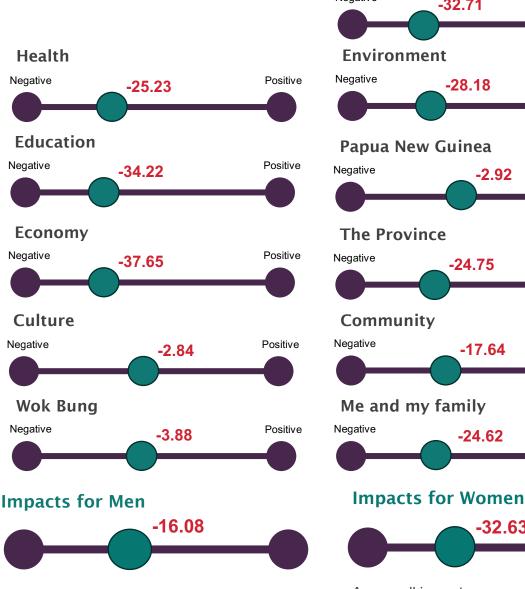
Negative



The figures here show the average impact perception score for all participants, where scores range from -100 to +100. -100 is extremely negative, and +100 is extremely positive. For example, Health was rated as -25.23, which means the impact was negative. People rated the impact on Culture as -2.84, which means the impact was almost neutral.



Infrastructure



Overall, men perceived the impacts of mining, oil and gas as slightly negative. In particular, men rated the impact on them and their family, infrastructure and health most negatively.

Negative Positive -32.71 Positive Positive National Positive Provincial Positive Positive Individual **Impacts for Women** -32.63

Across all impact areas, women perceived the impacts of mining as more negative than men. Women perceived impact on them/ their family, infrastructure and community most negatively.



Governance

The mean (average) experience of governance was **slightly negative**. Five separate though related governance concepts were measured: accountability, participation, transparency, relationships and agreements.

These concepts are defined as follows:

- Accountability: the extent to which stakeholders are held to account for their actions.
- **Transparency**: the amount and quality of information that mining stakeholders provide to the community.
- **Participation**: how much opportunity community members have for participating in the mine and decisions around the mine.
- Agreements: whether agreements between the mine and the community are fair or cause tension in the community.
- **Relationships**: the relationships between government, mining companies, and the community.

Overall, people thought that there was room for improvement in every area of governance.

Governance Perceptions

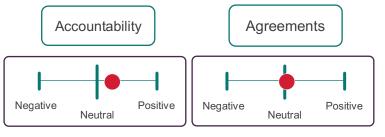
Of the five concepts, accountability was rated the **most positively**. Transparency was rated the **most negatively**. This could reflect changes in the regulatory framework that are holding resource companies and stakeholders to account, though this will be explored more in the resource governance report.

Governance Perceptions

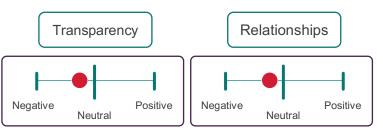
Negative

Positive

Most Positive Areas



Most Negative Areas





Cultural Governance

Properly understanding resource governance in PNG cannot be done without **connecting to local cultural principles and practices**. Local customary practices, or 'kastom', govern the way in which communities interact with each other, make decisions and distribute resources.

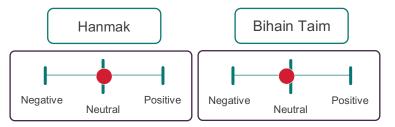
As with governance, the average cultural governance score was **slightly negative**. Seven cultural governance concepts were measured and overall, people across Western province agreed that there was room for improvement in cultural governance. For further information on cultural governance and how cultural governance relates to resource governance, refer to the Resource Governance Instrument section of the Baseline Methodology Design document.

Cultural Governance Perceptions

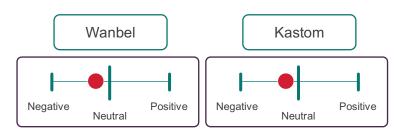
All seven cultural governance constructs were rated **negatively** by people in Western province except Hanmak, which was rated slightly above neutral. The constructs that were rated the most negatively were Wanbel and Wok Kastom.

- **Wok Bung:** Working together or getting things done through a collaborative approach.
- Wok Kastom: A broad concept that includes ceremonial activities and exchanges between individuals and groups.
- **Hanmak:** Tangible evidence of giving and exchange.
- **Pasin:** The way in which a person or group conducts themselves; their attitudes, behaviours and culture more broadly.
- **Luksave:** Recognising the value and status of an individual or group, sometimes through an overt display.
- **Wan Bel:** Reaching agreement or common understanding.
- Bihain taim: Considering the future.
- **Tok save:** The giving, sharing or reporting of information or data.

Most Positive Areas



Most Negative Areas





Governance and Development Impacts

Strong governance creates positive impact

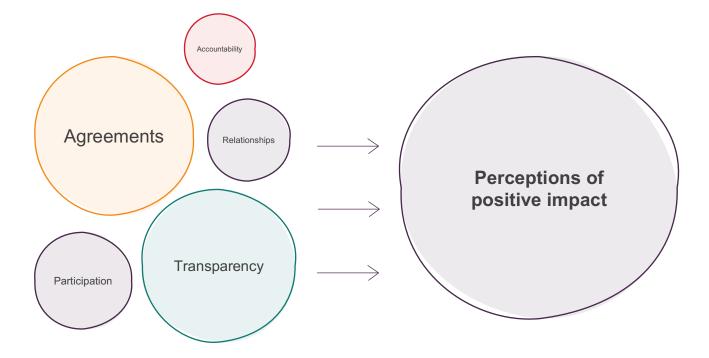
perceptions. For example, a mine with a positive relationship with the community has more positive impact than a mine with poor relationships³.

Positive impact perceptions include how the community felt about the impact of the mine on health, education, the economy, and a range of other areas. For more information on impact perceptions, refer to the previous section on **impact perceptions**.

In particular, agreements and transparency were found to have the most influence on perceptions of $impact^4$.

Impact Experience

Despite there being resource projects in Western for 43 years, 80% of people surveyed still cook using wood. The other 20% used electricity.



This diagram shows the relative influence of each of the five governance concepts on impact perceptions. A larger circle indicates more influence.

³ Bivariate correlation revealed a relationship between impact perceptions and general governance perceptions (r = .32, p < .001), participation (r = .32, p < .001), agreements (r = .22, p < .001), transparency (r = .25, p < .001), relationships (r = .21, p < .001). The relationship between accountability and impact perceptions was found to be negative, where greater accountability was associated with more negative impact perceptions. While interesting, this relationship was the weakest of all identified relationships, and can tentatively be dismissed as a statistical artefact.

⁴ Regression analysis revealed a significant relationship between participation and overall perceptions of impact (p < .001) and between relationships and impact perceptions (p = .009). Agreements was the next highest contributor to the variance of the model (p < .027) though this relationship did not reach significance (p = .077).

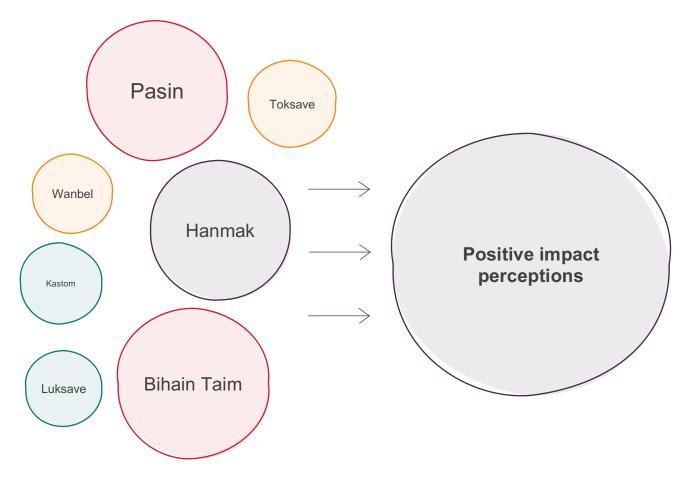
Cultural Governance and Development Impacts

Stronger cultural governance creates positive

impact perceptions. For example, if a mine works towards Wan Bel and respects the status of people in the community (Luksave), people in the community will see its impact more positively.

This is the same effect that was found for resource governance, however it is worth noting that this effect was **much stronger** with cultural governance⁵. This means that, while both are important, for a mine to have positive impact it is **especially important** that it is run in a way that is culturally respectful.

For more detail on the cultural governance concepts including their definitions, refer to the previous section on cultural governance.



This diagram shows the relative influence of each of the seven cultural governance concepts on impact perceptions. A larger circle indicates more influence.

⁵ Bivariate correlation between overall governance perceptions and overall impact perceptions revealed a Pearson correlation coefficient of .317, indicating a weak to moderate correlation. Correlation between cultural governance and overall impact perceptions revealed a Pearson correlation coefficient of .431, indicating a moderate correlation.

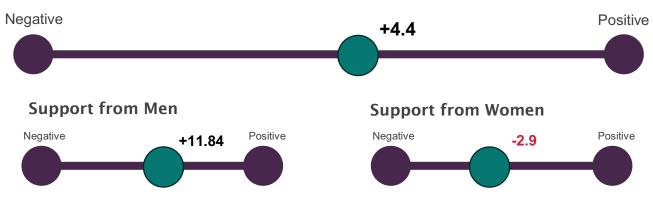




Support for Mining, Oil and Gas

Finally, it was found that overall support for mining, oil and gas in PNG was **slightly above neutral**. Support was determined through the question 'Please indicate the extent to which you support mining, oil and gas in Papua New Guinea.' Participants used a sliding scale that was then converted to numbers ranging from -100 (Do not support) to +100 (Very Strongly Support).

Overall it was also found that women, in general, were less supportive of mining, oil and gas than men



Overall Support

Appendix C



Lessons From the Field

This section provides a summary of the data analysis and lessons from the Western field trip. The analysis is briefly described, as well as the main learnings from the trip.



Lessons From the Field

Areas	What Went Well Lessons		
Fieldwork	 The environmental conditions that the fieldwork was undertaken in were challenging, particularly the high temperatures and humidity and the distances that had to be travelled between villages. The team managed these conditions well and stayed safe and hydrated. Pre-assigned villages for fieldwork meant that some field work activities could be streamlined (tok saves were completed in one day) simplifying logistics. Support from OTDF also contributed to logistics and planning. 	 Long distances were travelled from Kiunga to the villages, which could be planned differently to reduce field team travel times. 	
Communication	• The field teams built relationships with communities by following protocols, conducting clear and transparent tok saves and showing hospitality as communities showed their hospitality.	 Prior tok saves facilitate community entry and engagement, as well as meeting data targets. Early local communication and coordination helps facilitate future engagements with communities for subsequent initiatives. 	
Field Team Roles and Structure	 Mainstreaming disability and social inclusion through the twin track approach allowed more participation of people with disabilities in the RGI and more assessments to be conducted. The twin track approach allowed enumerators and team leaders to learn how to better identify and interact with people with disabilities. Field teams across the instruments worked well together. The intersecting design of the project is robust. Abt MERLA and GEDSI observers took an active role and became integral parts of data collection. 	 Having the Team C member in Team A doing specific disability assessments in more remote locations cut down on opportunities to have people with disabilities in the RGI. Observers who were not actively involved sometimes caused distractions and confusion in communities. Observers should be limited in future field engagements. Teams should share information, findings, and learnings. This helps to ensure a greater depth of information and context that spreads across the various instruments. 	
Research fatigue	• Awareness of 'research fatigue' meant in tok saves it was outlined the process of what would happen with the data, how it would be presented and how it is made available. It was outlined how the data fed other initiatives in Pillar 4b in a simple and clear manner. This also	• It is important that APEP sends the report to the sub-national level through LLG officials and stakeholders. It also speaks to the necessity of the 'Resource Governance Hub'.	



	helped to manage community expectations.	
Building relationships	• Relationships were built with communities through tok saves and the data collection process. For example, in Tabubil were assisted by a member of the Mt Fubilan Resources Association, the local liaison officer, and Administration Officer. With their assistance we ran the RGI surveys at Migalsim, Bultem, and Finalbin villages.	• Hospitality is greatly appreciated, especially in more remote villages, which helps build relationships for future engagements across the program.





Field Team Training

This section provides details on the training conducted in Port Moresby for the Baseline assessment, as well as training conducted in the field for teams collecting data and communicating with communities across the engagement province.





Baseline Training in Port Moresby

Training of field teams for the Baseline Initiative was undertaken in two parts: overall training in data collection using the Baseline instruments for team leaders in Port Moresby prior to the commencement of fieldwork; and in-province training with field teams and data collection officers.

The training in Port Moresby occurred from 28th February to 1st of March, 2024. This included indepth training on each of the Baseline

instruments, the Resource Governance Survey, the Local Capacity Assessment, the Disability Assessment and Digital Storytelling. Training was also conducted on GEDSI and Security and Safeguarding. Additional logistics planning sessions were also conducted as part of the training week. A further Digital Storytelling training session was held with Field team Leaders and Australian High Commission staff at the Australian High Commission in Port Moresby.











Table 1 Port Moresby Training.

Port Moresby Training Schedule			
Session	Date	Lead	Learning Outcomes
Disability Assessment	Wednesday 28 February	Ben Theodore (Tanorama)	Wider team familiarised with up-to-date categories of disability and lessons on how to interact and identify people with disabilities in the field. Sign language lessons were also conducted to encourage how to be more inclusive in the field.
JOCAT	Thursday, 29 February	Martin Brash (Tanorama)	Wider team and JOCAT facilitators familiarised with the aims and objectives of the JOCAT instrument.
Resource Governance Survey	Thursday, 29 February	Dr Michael Spann (Square Circle)	Wider team familiarised with Resource Governance Instrument and its aims through role playing the RGI; Team Leaders and selected field team members trained on Kobo Collect and tablets used for data collection by Clive Gimolo (Abt); process for collection of data and team debriefs for first layer of analysis outlined.
Safeguards	Thursday, 29 February	Josephine Yos (Abt)	Team Leaders and selected field team members familiarised with the social safeguarding framework that the field work is situated in. This included child protection, reporting issues and abuse and the process for reporting. Social safeguarding form signed by participants.
Digital Storytelling	Friday, 1 March	James Vu (Square Circle)	Team Leaders and selected field team members understanding the aims and objectives of the Digital Storytelling Instrument. Training in use of mobile cameras for Team Leaders and selected field team members.



Western Province Field Team Training

Training for the field teams focused on specific data gathering instruments, stakeholder relationships, building rapport and collecting data in the field. Training also covered Information relevant to the specific location of data collection. This training took place at the Cassowary Hotel on the 10th April, 2024.

Participants: Damien Lucas, Abasi Wyne, Moesha Kadibu, Nitsella Divet, Senior Dumo, Kevani Toua (Tanorama), Jonah Simet (Abt) Dr Patrick Pikacha (Square Circle)



Table 3 Western Province Field Team Training

Western Province Field Team Training Schedule			
Session	Date	Lead	Learning Outcomes
APEP Pillar 4b Awareness	10 April	Jonah Simet (Abt)	Local enumerators familiarised with wider APEP initiative and its associated aims and objectives; local enumerators familiarised with Pillar 4b's aims and objectives as well as the importance of resource governance.
Resource Governance Survey	10 April	Dr Patrick Pikacha (Square Circle)	Local enumerators familiarised with Resource Governance Instrument and its aims through role playing the RGI; local enumerators team members trained on Kobo Collect and tablets used for data collection by Clive Gimolo (Abt); process for collection of data and team debriefs for first layer of analysis outlined.
Safeguards	10 April	Jonah Simet (Abt)	Local enumerators familiarised with the social safeguarding framework that the field work is situated in. This included child protection, reporting issues and abuse and the process for doing so. Social safeguarding form signed by local enumerators.
Logistics and Planning	10 April	Eltonia Sibel (Tanorama)	Local enumerators familiarised with the schedule of villages, the process of picking up and dropping off after fieldwork and security arrangements for the team.
Disability Assessment	10 April	Ben Theodore (Tanorama)	Wider team familiarised with up-to-date categories of disability and lessons on how to interact and identify people with disabilities in the field. Sign language lessons were also conducted to encourage how to be more inclusive in the field.



Appendix E



Disability Assessment Emergent Findings

This section details the emergent findings from the disability assessments conducted in Western.

Tanorama will provide the full report on the Disability Assessment Findings. A brief summary on achievements is provided below.

Disability Assessment Instrument

The Disability Assessment is a tool that strengthens outcomes for persons with disabilities through the Baseline initiative.

Key Achievements

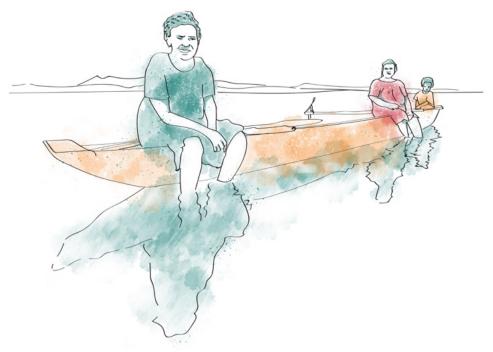
Several key achievements include:

- Data collection was undertaken with approximately 60 people with disabilities
- Mainstreaming disability and social inclusion through the 'twin track approach' allowed more people with disabilities participation in the RGI and facilitated more disability assessments to be conducted
- Embedding members from the RGI team (Team A) in the Disability Team (Team C) and vice versa as part of the 'twin track approach' to mainstream disability and social inclusion allowed people with disabilities to be included in the main demographic RGI and assisted more specific disability assessments to be conducted by the Disability Team Member embedded in the RGI team.
- The 'twin track approach' also allowed enumerators and Team Leaders to learn how to better identify and interact with people with disabilities.
- Involvement of Department of Community Development and religion in the delivery of disability assessment training.





Appendix F



Fieldwork Logistics Report

Field work was conducted in Western from 9 to 20 April. Data collection teams worked across two locations, in the Kiunga to Tabubil corridor, to gather data for the four separate Baseline instruments.

Tanorama is responsible for providing the fieldwork report, which will be included in this appendix once it is available.